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10-06,03

PATENT APPLICATION
DOCKET NO.: LSI.72US01 (03-0451)

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Michael Alumbaugh, Angel Ferran, Jr., and Dwight Thurber

Serial No.: 10/633,224

Examiner:

Filing Date: July 31, 2003

Group Art Unit:

Title: Closed-Loop System for Mastering, Certification and Production of Compact Discs

COMMISSIONER FOR PATENTS

P.O. Box 1450, Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ (X) under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ () under 37 CFR 1.97(c) together with either a:
- ☐ () Certification under 37 CFR 1.97(e), or
- ☐ () a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ () under 37 CFR 1.97(d) together with a:
- ☐ () Certification under 37 CFR 1.97(e), and
- ☐ () a petition under 37 CFR 1.97(d)(2)(ii), and
- ☐ () a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1)
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- ☐ () A check in the amount of \$_____.00 is enclosed herewith.
- ☐ () Please charge to Deposit Account #50-1491 the amount of \$_____.

At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 50-1491 pursuant to 37 CFR 1.25.

☒ (X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

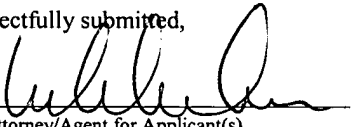
☐ () A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as:

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Respectfully submitted,

By 
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(Rev. 5/15/96) HH:731595 v1

FORM PTO-1449

ATTY. DOCKET NO.

SERIAL NO.

LSI.72USO1 (03-0451)

10/633,224

LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT

Michael Alumbaugh, et al.

FILING DATE

GROUP

July 31, 2003

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	A	"Sun Product Documentation," www.docs.sun.com , Application Packaging Developer's Guide, Sun Microsystems, Products & Services, Support & Training.
EXAMINER		DATE CONSIDERED